FORM 3921 (Rev. Oct. 1965) U.S. Treasury Department Internal Revenue Service

(b) A restricted stock option under section 424(b) \square

EXERCISE OF A QUALIFIED OR RESTRICTED STOCK OPTION

(U.S. information return under Section 6039(a)(1) of the Internal Revenue Code)

Copy A

For Internal Revenue Service

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5. Date of transfer 6. Fair market value of stock at time option exercised 7. Number of shares transf		umber of shares transferred		
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information return under	Section 6039(a)(1) of the Internal Revenue Code)	ION	Copy A For Internal Revenue Service	
1(a). Name and address of corporation transferring stock			(b) Employer identification number	
2(a). Name and address of person to whom transferred			(b) Identifying number	
s transferred (If differe	ent from the corporation transferring stock)			
		7. Nu	7. Number of shares transferred	
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FORM 3921 (Rev. Oct. 1965) U.S. Treasury Department

(b) A restricted stock option under section 424(b)

EXERCISE OF A QUALIFIED OR RESTRICTED STOCK OPTION

(U.S. information return under Section 6039(a)(1) of the Internal Revenue Code)

Copy B

For Person Exercising Ontion

Internal Revenue Service		for	calendar year 19			
1(a). Name and ad	address of corporation transferring stock			(b) En	nployer identification number	
2(a). Name and address of person to whom transferred			(b) Id	(b) Identifying number		
3. Name of the corp	oration whose	e stock is transferred (If differe	nt from the corporation transferring stock)			
4. Date option granted 5. Date of transfer 6. Fair market value of stock at time option exercised			7. Nu	mber of shares transferred		
• • •	stock option	rcised is: under section 422 (b) under section 424 (b)				
FORM 3921 (Rev. Oct. 1965) U.S. Treasury Department Internal Revenue Service	EXE	(U.S. information return under	ED OR RESTRICTED STOCK OPTIO Section 6039(a)(1) of the Internal Revenue Code) calendar year 19	N	Copy B For Person Exercising Option	
1(a). Name and ad	(a). Name and address of corporation transferring stock			(b) En	(b) Employer identification number	
2(a). Name and address of person to whom transferred			(p) Iq	(b) Identifying number		
3. Name of the corp	oration whose	e stock is transferred (If differe	ent from the corporation transferring stock)			
4. Date option granted 5. Date of transfer 6. Fair market value of stock at time option exercised			7. Number of shares transferred			
	stock option	rcised is: under section 422 (b) under section 424 (b)				
FORM 3921 (Rev. Oct. 1965) U.S. Treasury Department Internal Revenue Service	EXE	(U.S. information return under	ED OR RESTRICTED STOCK OPTIO Section 6039(a)(1) of the Internal Revenue Code)	N	Copy B For Person Exercising Option	
1(a). Name and address of corporation transferring stock		(b) En	(b) Employer identification number			
2(a). Name and address of person to whom transferred			(b) Id	(b) Identifying number		
3. Name of the corp	oration whos	e stock is transferred (If differe	ent from the corporation transferring stock)			
4. Date option gran	Date option granted 5. Date of transfer 6. Fair market value of stock at time option exercised		7. Number of shares transferred			
8. Check whether th	•	rcised is: under section 422 (b)				

FORM 3921

(Rev. Oct. 1965) U.S. Treasury Department Internal Revenue Service

(a) A qualified stock option under section 422 (b) □
(b) A restricted stock option under section 424 (b) □

EXERCISE OF A QUALIFIED OR RESTRICTED STOCK OPTION

(U.S. information return under Section 6039(a)(1) of the Internal Revenue Code)

Copy C

For Transferor of Stock

for calendar year 19____ (b) Employer identification number 1(a). Name and address of corporation transferring stock (b) Identifying number 2(a). Name and address of person to whom transferred 3. Name of the corporation whose stock is transferred (If different from the corporation transferring stock) 7. Number of shares transferred 5. Date of transfer 6. Fair market value of stock at 4. Date option granted time option exercised 8. Check whether the option exercised is: (a) A qualified stock option under section 422 (b) (b) A restricted stock option under section 424(b) EXERCISE OF A QUALIFIED OR RESTRICTED STOCK OPTION FORM 3921 Conv C (Rev. Oct. 1965) (U.S. information return under Section 6039(a)(1) of the Internal Revenue Code) For Transferor of Stock U.S. Treasury Department Internal Revenue Service for calendar year 19__ (b) Employer identification number 1(a). Name and address of corporation transferring stock (b) Identifying number 2(a). Name and address of person to whom transferred 3. Name of the corporation whose stock is transferred (If different from the corporation transferring stock) 7. Number of shares transferred 4. Date option granted 5. Date of transfer 6. Fair market value of stock at time option exercised 8. Check whether the option exercised is: (a) A qualified stock option under section 422 (b) (b) A restricted stock option under section 424(b) EXERCISE OF A QUALIFIED OR RESTRICTED STOCK OPTION Copy C FORM 3921 (Rev. Oct. 1965) (U.S. information return under Section 6039(a)(1) of the Internal Revenue Code) For Transferor of Stock U.S. Treasury Department Internal Revenue Service for calendar year 19_ (b) Employer identification number 1(a). Name and address of corporation transferring stock (b) Identifying number 2(a). Name and address of person to whom transferred 3. Name of the corporation whose stock is transferred (If different from the corporation transferring stock) 6. Fair market value of stock at 7. Number of shares transferred 5. Date of transfer 4. Date option granted time option exercised 8. Check whether the option exercised is:

INSTRUCTIONS

Who Must File.—Every corporation which transfers a share of stock to any person pursuant to such person's exercise on or after January 1, 1964, of a qualified stock option described in section 422(b), or a restricted stock option described in section 424(b).

Where To File.—See Form 4067 for list of Service Centers. Form 4067 is a summary report used to transmit Forms 3921 and 3922 to the Service Centers.

When To File.—This return must be filed for the calendar year on or before February 28 of the following year.

Statement to the Person Exercising the Option.—Every corporation making this return shall furnish to each person whose name and identifying number is shown on such return a copy of this return.

Time for Furnishing Statement.—The statement required to be furnished to any person for a calendar year shall be furnished to such person on or before January 31 of the following year.

Extension of Time for Furnishing Statement.—The district director with whom the income tax returns of the applicant corporation are filed may grant an extension of time not exceeding 30 days in which to furnish such statements. The application must contain a full recital of the reasons for requesting the extension to aid the district director in determining the period of the extension, if any, which will be granted. A letter to the district director signed by the applicant will suffice as an application. The application must be filed on or before January 31 of the year following the calendar year for which the form is filed.

A statement shall be considered to be furnished to a person if it is mailed to such person at his last known address.

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